



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WOOD et al.

Docket No: 20177-11 US

Serial No: 09/888,323 /

Art Unit: 2163

Filed: June 22, 2001

Examiner: Unassigned

For: **Method and System for Determining Personal Characteristics of an Individual or Group and Using Same to Provide Personalized Advice or Services**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Enclosed herewith for filing in the above-identified application are the following:

- ☒ Copy of Notice to File Missing Parts of Non-Provisional Application (Filing Date Granted);
- ☒ Check No. 126797 for \$435.00 for payment of the required fees;
- ☒ Executed Declaration, Power of Attorney and Petition;
- ☒ Preliminary Amendment; and
- ☒ Postcard for date-stamped return as confirmation of receipt of these items; and

Total fees due in this application amount to \$435.00. The Commissioner is further authorized to charge any required additional fees, or credit any overpayment, to Deposit Account 02-3964.

Respectfully submitted,

Robert O. Guillot
Reg. No. 28,852

Date: October 17, 2001

OPPENHEIMER WOLFF & DONNELLY LLP
Customer No. 25696
P.O. Box 10356
Palo Alto, CA 94303
Tel: (650) 320-4000

CERTIFICATE OF MAILING (37CFR 1.8 (A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on October 17, 2001 with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date: October 17, 2001

Vicki Naso



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,323	06/22/2001	E. Vincent Wood	20177-11 US

CONFIRMATION NO. 7211

FORMALITIES LETTER



OC00000006441631

25696
OPPENHEIMER WOLFF & DONNELLY LLP - SILICON VALLEY
1400 PAGE MILL ROAD
PALO ALTO, CA 94304

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/04/2002 SMINASS1 00000027 09888323

01 FC:201
02 FC:205

370.00 OP
65.00 OP